

#11

PTO/SB/01 (05-03)

Approved for use through 11/30/2003. OMB 0651-0035

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POWER OF ATTORNEY OR AUTHORIZATION OF AGENT	Application Number	09/651,301
	Filing Date	08/30/2000
	First Named Inventor	Richard W. Friesen
	Group Art Unit	3624
	Examiner Name	Geoffrey R. Akers
	Attorney Docket Number	36992.00109 (formerly 31192-05069)

I hereby appoint:

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☒ Practitioner(s) named below:

Name/Registration Number
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as my/our attorney(s) or agent(s) to prosecute the application identified above, and to transact all business in the Patent and Trademark Office connected therewith.

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☐ Applicant/Inventor.

☒ Assignee of record of the entire interest. See 37 CFR 3.71. Certificate under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name	Yashushi SEMBA, Manager, Hitachi, Ltd., Intellectual Property Office
Signature	<i>Yashushi Semba</i>
Date	MAY 30, 2003

SIGNATURE of Applicant or Assignee of Record

Name	
Signature	
Date	

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below.

☐ Total of _____ forms are submitted.

This collection of information is required by 37 CFR 1.31 and 1.32. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.16. This collection is estimated to take 3 minutes to complete, including gathering, preparing and submitting the completed application form to the USPTO. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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#13

PTO/BB/06 (04-03)

Approved for use through 04/02/2003, OMB 0481-0031

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STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Hitachi, Ltd.Application No./Patent No.: 08/651,301Filed/Issue Date: Filed August 30, 2000Entitled: User Interface for Semi-Fungible TradingHitachi Ltda Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title, and interest
- The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☐ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. ☒ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: Friesen, Richard W. and Mark C. Kilgas To: EPIT Inc.
The document was recorded in the United States Patent and Trademark Office at Reel 011335, Frame 0245, or for which a copy thereof is attached.
2. From: EPIT Inc. To: Hitachi Ltd.
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☒ Copies of assignments or other documents in the chain of title are attached.

(NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.8)

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

MAY 30, 2003

Date

011-81-45-866-6331

Telephone Number

Yasushi GEMBA

Signature

Yasushi GEMBA

Typed or printed name

Manager, Hitachi, Ltd., Intel. Prop. Div.

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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OFFICE OF PETITIONS

PATENT APPLICATIONS ASSIGNMENT

This PATENT APPLICATIONS ASSIGNMENT (this "*Assignment*"), dated October 21, 2002, is entered into by ePIT Systems (formally known as ePIT, Inc.), a California corporation ("*Assignor*"), as assignor, in favor of Hitachi, Ltd., a Japanese corporation ("*Assignee*"), as assignee.

WHEREAS, Assignee was chosen as the winning bidder in a sealed bid auction conducted by Assignor pursuant to Assignor's Notice of Sealed Bid Auction ("*Notice*"), and pursuant to which Assignor has agreed to sell and assign, and Assignee has agreed to buy and acquire certain patent applications from Assignor;

WHEREAS, pursuant to the terms and conditions of the Notice, Assignor desires to assign and transfer to Assignee all of Assignor's right, title, and interest in and to certain patent applications as listed below;

NOW, THEREFORE, for good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, Assignor hereby, sells, assigns, transfers and conveys to Assignee, for itself and its successors, transferees, and assignees, the following:

1. The entire worldwide right, title, and interest in all inventions and improvements ("*Subject Matter*") that are disclosed in the following applications ("*Patent Rights*"):

Country/ Origin	Patent Application Serial No.	Title	Filing Date
U.S.A.	09/289,550	User Interface For Electronic Trading System	April 7, 2000
U.S.A.	09/651,301	User Interface For Semi-Fungible Trading	August 30, 2000
Europe	00920223.5	User Interface For Electronic Trading System	April 7, 2000
Japan	2000-611188	User Interface For Electronic Trading System	April 7, 2000

2. The entire worldwide right, title, and interest in and to (a) the Patent Rights, including any right of priority; (b) any provisional, divisional, continuation, continuation-in-part, substitute, renewal, reissue, and other related applications thereto which have been or may be filed in the United States or elsewhere in the world; (c) any patents which may be granted on the applications set forth in (a) and (b) above; and (d) the right to sue in its own name and to recover for provisional rights and past, present and/or future infringement of any or all of any applications or patents issuing therefrom.

Assignor hereby appoints Assignee as its attorney-in-fact with respect to the Subject Matter and Patent Rights that are the subject of this Assignment to act in Assignor's name, place and stead to execute, deliver and record any document or instrument of assignment required in any country in which such documents may be required to transfer, grant or confirm the rights transferred, granted and confirmed herein.

This Assignment is given pursuant to the provisions of the Notice, including but not limited to "Exhibit B" to the Notice, and is made subject to the terms and provisions of the Notice and all exhibits to the Notice.

[Remainder of Page Intentionally Left Blank]

This Assignment may be executed in one or more counterparts, each of which shall be deemed an original and all of which together shall constitute one original instrument.

IN WITNESS WHEREOF, Assignor has caused this Assignment to be duly executed by its authorized representative on and as of the day and year first above written.

EPIT SYSTEMS

By: 

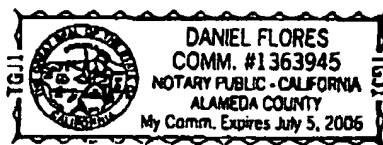
Timothy Campbell, President

COUNTRY OF U.S.A.)
STATE OF CALIFORNIA) SS.:
COUNTY OF Alameda)

On this 21 day of OCT, 2002, before me personally appeared Timothy Campbell personally known to me or proved to me on the basis of satisfactory evidence to be the person whose name is subscribed to the within instrument and acknowledged to me that she executed the same in her authorized capacity and that by her signature on the instrument, the person, or entity on behalf of which the person acted, executed the instrument.


Notary Public

(Seal)



[Signature Page to Patent Applications Assignment]

This Assignment acknowledged and agreed to by:

HITACHI, LTD.

By: 

Yasuo SAKUTA, Patent Attorney

Name: Executive Managing Director,

Intellectual Property Group

Title: (Authorized Signing Officer)

[Signature Page to Patent Applications Assignment]

I hereby certify that this correspondence is being hand-delivered by
Annette Masiello or Rayline Pettit of Annette Masiello Patent and
Trademark Services to the address below:

PATENT
Attorney Docket No.: 36992.00109

Commissioner for Patents
Alexandria, VA. 22313-1450

On June 9, 2003

By: Annette Masiello
Annette Masiello

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of

Richard W. Friessen

Application No.: 09/651,301

Filed: August 30, 2000

For: User Interface for Semi-
Fungible Trading

Examiner: Geoffrey R. Akers

Art Unit: 3624

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Commissioner for Patents
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Sir:

Applicant's undersigned attorney hereby grants to Annette Masiello or Rayline Pettit of Annette Masiello Patent and Trademark Services, 2001 Jefferson Davis Highway, Suite 1011, Arlington, VA 22202, the power to inspect and make copies of the above-identified patent application file.

The Commissioner is hereby authorized to charge payment for any deficiency of required fees associated with this communication to Deposit Account 05-0150.

DATED: June 8, 2003

Respectfully submitted,



Paul A. Durdik
Reg. No. 37,819